# **Postgraduate Medical Education Council of Tasmania**

# **Accreditation Guidelines**

**July 2023** 

Guidelines outlining the accreditation process for intern training programs in Tasmania



# **Objectives of the Accreditation Process**

The PMCT accreditation process has been designed to obtain information about health service performance of the intern training program against explicit standards and criteria, to achieve the following objectives:

- 1. Interns achieve a high standard of general clinical education and training; and
- 2. The best possible environment exists for the organisation, supervision, education and training of interns.

Accreditation is awarded to a health service for:

- 1. The overall health service intern training program; and
- 2. Individual intern terms

# **Accreditation Standards and Expectations**

The objective of the accreditation process is to ensure that the training health service complies with the following seven standards:

#### 1. Health service culture and support for interns

The health service demonstrates a commitment to the development of interns including providing support structures for doctors in difficulty. There is a Director of Clinical Training (DCT) for interns.

#### 2. Orientation

Interns participate in formal orientation programs which are designed and evaluated to ensure sound learning occurs. These should be provided at the commencement of employment and at each term.

#### 3. Education and training program

Interns are provided with appropriate formal education opportunities. Interns have protected teaching sessions of at least one hour per week. Rosters are structured to provide a balance between service delivery and clinical learning opportunities. Intern core terms in medicine, surgery and emergency medical care meet the core requirements outlined in the <u>Medical Board of Australia Intern Registration Standard</u>. The health service has a General Clinical Education Committee (GCEC) that oversees the intern education and training program.

#### 4. Supervision

Interns are supervised at a level appropriate to their experience and responsibilities and supervisors (registrars and/or senior medical staff) are accessible. Term supervisors are nominated for each term and they are aware of their responsibilities <a href="PMCT Accreditation Policy-Supervision of Interns V2.2">PMCT Accreditation Policy-Supervision of Interns V2.2</a>

#### 5. Feedback and assessment

Interns receive continuous and constructive feedback on their performance. This includes discussion of learning objectives at the beginning of term followed by mid-term assessment/action plan and end of term assessment/summation.

Reviewed July 2023 2 | Page

#### 6. Program evaluation

The health service formally evaluates the intern training program in a continuous improvement framework. Interns are given the opportunity to evaluate orientation, education and terms and any issues are explored and outcomes reported back to the interns. Program evaluation should include annual review of the evaluation forms and reporting on feedback and action outcomes to the GCEC, Term Supervisors, Heads of Department and interns.

#### 7. Facilities and amenities

The health service provides a safe physical environment and amenities that support the interns.

\* In 2012 PMCT commenced accrediting PGY2/3 terms against the same standards as intern terms.

The standards have been developed with reference to the following documents:

- Prevocational Medical Accreditation Framework for the Education and Training of Prevocational Doctors (CPMEC, 2009)
- Accreditation Guide for Health Services (PMCV, 2013)
- Registration Standard: Granting registration as a medical practitioner to Australian and New Zealand graduates on completion of intern training (MBA, 2012)

# **Accreditation Survey Tool**

The Standards outlined above, and the Accreditation Survey Tool are based on the *Prevocational Medical Education Framework (PMAF)* and the Prevocational Medical Council of Victoria (PMCV) *Accreditation Guide for Health Services*. PMAF was developed in 2009 by the Confederation of Prevocational Medical Education Councils (CPMEC) to increase consistency, transparency and efficiency in prevocational medical accreditation processes in all Australian States and Territories, and to align prevocational accreditation with the best local and national accreditation processes. <a href="https://www.amc.org.au/accreditation-and-recognition/assessment-accreditation-prevocational-phase-medical-education/national-framework-for-prevocational-medical-training/">https://www.amc.org.au/accreditation-and-recognition/assessment-accreditation-prevocational-phase-medical-education/national-framework-for-prevocational-medical-training/</a>

The Accreditation Survey Tool is reviewed on a regular basis by the Accreditation Committee to ensure that it complies with current National Registration Standards. A mandatory review should be undertaken after a major survey visit to determine currency and relevance of the document.

The tool comprises two parts:

#### Part 1: Health service information and overview

This section of the tool is completed by the health service prior to the accreditation visit and documents the staffing, facilities and structures in place to support the intern training program.

#### Part 2: Assessment against standards

This section is completed by both the health service (self-assessment prior to the visit) and the survey team (assessment during the visit). It is used to provide a framework for assessing compliance with the accreditation standards.

Reviewed July 2023 3 | Page

# **Accreditation Survey Visits**

Accreditation survey visits (also known as Full Accreditation Survey Visits) are conducted on a four yearly cycle as from 2016. Visits outside this period are generally scheduled on an 'as required' basis and are often the result of specific issues, concerns raised or part of an appeals process. Accreditation Mid-Cycle Reviews occur at the midway point of an accreditation cycle (normally at the two-year period) at all locations to track progress and identify issues re accreditation.

The process for the Full Accreditation Survey Visit has ten steps.

The following highlights the tasks involved in each of the ten steps and these highlights are outlined accordingly.

#### **Prior to the Survey Visit**

#### Step 1

- Senior health service staff are informed that an accreditation survey visit is due.
- PMCT provides timeline for survey, survey tools and relevant information re the survey
- The health service completes an accreditation survey and provides any supporting information and a proposed for the actual survey visit.
- Survey team is selected by PMCT to conduct the review.

The Chief Executive Office and the Executive Director of Medical Services (EDMS), or equivalent, of the health service is informed by the Chair of the Accreditation Committee that an accreditation survey visit is due. They are advised of their current accredited intern positions and provided with a timetable template and a list of personnel required to meet with the survey team.

The health service is requested to complete and return an electronic accreditation survey (Part 1 and the self-assessment in Part 2 of the Accreditation Survey Tool) and to provide any relevant documentation in support of the accredited terms to be reviewed. This should include a summary of each accredited term evaluations from each term being put forward for re-accreditation and a term description for each new term being put forward for accreditation. Each term to be reviewed must be clearly indicated on the form from the health service. If a new term is being requested the name must be clearly indicated and supporting information must be provided at the time of submitting the information to PMCT. At six weeks prior to the survey visit a Survey Monkey will be sent to each Intern and JMO who are undertaking work within the health service- if the survey visit is in the first half of the year a decision will be made by the Chair of the Accreditation Committee in conjunction with the relevant DCTs (or equivalent), within the health service, to send to the intern and JMO cohort of the previous year.

Timeframe: The CEO of the health service is sent a letter at least 16 weeks prior to the survey. This letter will outline the information, which needs to be provided to PMCT. This information from the health service must be sent to PMCT Accreditation Manager eight weeks prior to the survey visit so that the documents can be collated by the Accreditation Manager.

Reviewed July 2023 4 | Page

#### Step 2

- The Chair of Accreditation Committee and PMCT Manager finalises the survey visit date in consultation with the PMCT accreditation survey team and the health service.
- The health service provides the Accreditation Committee with the requested information (via email) for collation and a final timetable.
- All submitted documents must be version controlled and amendments highlighted for easy identification of changes.

The date of the visit is finalised by the Chair of the Accreditation Committee in consultation with survey team and the health service. The Chair of the Accreditation Committee confirms the survey visit date in writing to the health service.

The health service is requested to provide to the Chair of the Accreditation Committee the names and positions of health service representatives who will be interviewed by the survey team and a final timetable for the visit based on the template provided.

**Timeframe**: Documents to be provided by the health service to the PMCT Accreditation Manager at least eight weeks prior to the survey visit.

#### Step 3

- The information provided by the health service is reviewed with a request sent to the health service if further information is required.
- If further is needed, this must be forwarded by the health service within one week of the request being made.
- The information provided by the health service is forwarded to the survey team.
- At six weeks prior to the survey visit an electronic survey is sent to each Intern and RMO undertaking a term at the health service

The information provided by the health service, together with copies of the previous visit report and relevant correspondence, is forwarded to the survey team by the PMCT Accreditation Manager for their information and for identification of the terms to be reviewed or any other aspect related to the survey visit.

**Timeframe**: at least four weeks prior to the visit all information will be sent to the survey team members.

#### Step 4

• The survey team consider the information provided by the health service for the final agenda.

The survey team leader liaises with fellow team members regarding any issues and finalises the agenda. This may involve contacting the health service if the proposed timetable and list of interviewees is not satisfactory, and to gain additional documentation.

**Timeframe**: At two weeks prior to the survey visit teleconferences/Zoom

Reviewed July 2023 5 | Page

meetings will be held with the survey team to identify issues or relevant information, which may be missing or inadequate. This meeting will also identify those standards, which have been met using the information provided by the health service.

#### The Survey Visit

#### Step 5

- The survey team carry out the accreditation survey visit.
- The survey team provides feedback to the health service at the conclusion of the visit.

The survey team leader and members carry out the accreditation survey visit in accordance with their role and responsibilities <u>PMCT Code of Conduct</u>

and the PMCT Confidentiality and Data Management Policy

**Escalation Process:** If the survey team identifies any issues or concerns relating to either patient or junior doctor safety during the course of the survey visit, or any other serious concerns, then please refer to the "Managing Patient/JMO Safety Concerns during Accreditation Visits Procedure".

At the conclusion of the visit the survey team provides an overview to members of the health service about its observations and general findings. This final meeting provides an opportunity for the survey team to raise issues and for the health service to respond.

**Timeframe**: survey visits will generally take at least one and a half consecutive days. The first day is a half-day, which will start at approximately 2pm- this day will be used to meet with the health service to complete the organisational issues and governance aspects of the visit. The second day will be used as the final survey visit day.

#### **Following the Survey Visit**

#### Step 6

- The survey team finalise the survey report.
- At four weeks post the visit a survey, is sent by PMCT AC to the health service and all members of
  the survey team.
- At six weeks post the survey, survey team must respond and complete report.

Following the visit, the survey team leader, in liaison with the team members, finalises the report ensuring that all team members have agreed and forwards the report to the Chair of the Accreditation Committee.

**Timeframe**: At three weeks post the survey visit the initial written report will be sent to the survey team for comments and clarification and the survey team have then three further weeks to respond. The PMCT Accreditation Manager will collate and finalise the report by seven weeks after the survey visit.

Reviewed July 2023 6 | Page

#### Step 7

- The PMCT Accreditation Manager submits the initial report to the hospital for comment on factual accuracy and to clarify recommendations that may not be clear, or they feel they may not be able to achieve.
- Health service has two weeks to review the report and respond for comment on factual accuracy and to clarify recommendations that may not be clear, or they feel they may not be able to achieve.

The PMCT Accreditation Manager sends the draft report to the health service to provide opportunities to clarify factual issues.

**Timeframe**: the draft report is sent to the health service at seven weeks after the survey visit and on receipt of the report the health service is given two weeks to respond to factual issues. The health service has four weeks, from the date of receipt of the draft survey report, to appeal the outcome of the report.

If there is an appeal: the health service must notify PMCT Accreditation

Manager (within the four-week period mentioned above) that there is an appeal
and then the health service has three weeks after this date to submit their
appeal. (The policy relating to this can be found at PMCT Accreditation Policy Appeals V3.3

## Step 8

- Health service can respond to factual issues within the report.
- Health service has four weeks from date of receipt of report to appeal the outcome. Refer to *PMCT Accreditation Policy - Appeals V3.3*
- The final survey report is produced. The Accreditation Manager then submits the report to the AC. The AC considers the report and may request additional information from the team or the site and may modify the report or its recommendations.

Any additional information or clarification/amendment by the health service is discussed by the survey team and a final report is produced.

**Timeframe**: within 12 weeks (if no appeal has been received within the specified timeline) of the survey visit. If an appeal is received the appeal must be heard as per the policy document related to appeals. The appeal may delay the process by up to 5 weeks.

Reviewed July 2023 7 | Page

#### Step 9

- Assuming no appeals, the AC sends the updated report to the Board for consideration.
- The Board considers the report and recommendations and makes a decision regarding accreditation. The Board may:
  - a. Support the recommendations
  - b. Go back to AC for further information or clarification

**Timeframe**: within 16 weeks of the survey visit (assuming no appeals which will then change the timelines to up to another 5 weeks).

#### Step 10

- At 17 weeks post the survey visit, the Principal Officer notifies the Accreditation Manager via email detailing the Board's decision.
  - The report and its accreditation decisions and recommendations etc are not valid until it has been approved by the Board.
- The PMCT Accreditation Manager informs the organisation of the decision, updates the PMCT website and informs the Tasmanian Board of the Medical Board of Australia.

The approved decision, together with the complete survey visit report, is provided to the Chief Executive Officer of the health service. PMCT notifies the Tasmanian Board of the Medical Board of Australia of the accredited health services and terms and the duration of accreditation.

The PMCT website is to be updated prior to the reports being issued.

**Timeframe**: within one week of the PMCT Board making a decision/approving report.

# **Escalation Process for a Full Accreditation Survey**

Managing Patient/JMO Safety Concerns during Accreditation Visits Procedure".

PMCT would like to thank and acknowledge SAMET who originally developed this Procedure in 2012. This procedure has been adapted for PMCT with the permission of SAMET.

#### Managing Patient/JMO Safety Concerns during Accreditation Visits Procedure

#### Purpose

Accreditation survey team members undertaking accreditation visits may, in their investigations, observations or meetings, encounter issues which pose significant risks to patient and/or Junior Medical Officer (JMO) safety and/or welfare. This procedure sets out how the accreditation team should manage such concerns.

#### Context

• If an accreditation survey team encounters a patient or JMO safety and/or welfare issue, it has a duty to investigate this to the best of its ability and inform the relevant authorities at the site

Reviewed July 2023 8 | Page

- A patient safety/welfare concern refers to any real or potential issue that could compromise the care given to a patient in the care of a JMO.
- A JMO safety/welfare concern refers to any real or potential issue that could result in a JMO coming to physical or professional harm.
- These concerns are not mutually exclusive; an issue which endangers patient safety/welfare will often endanger JMO safety/welfare, and vice versa.
- It is most likely that these issues will be discovered in face-to-face meetings with JMOs. Due to the numbers of JMOs in certain rotations, an individual's anonymity may be compromised by the reporting of a patient/JMO safety/welfare concern. If this is likely, the accreditation survey team will inform the JMO of this and determine if they wish to proceed. However, in certain circumstances where an identified concern is of an extremely serious nature or requires immediate action, the JMO involved may not be given the choice to not proceed.

#### **Details**

When an accreditation survey team encounters a patient or JMO safety/welfare issue, it should follow the process outlined below to ensure its obligations are fulfilled.

- Accreditation survey team members should ask questions in meetings with JMOs to investigate the issue and get as much information as possible about the issue. This issue should then be discussed with the survey team leader and other team members, and then communicated or escalated if deemed to be of a serious nature. The escalation process would involve communicating the issue to THS site staff such as the EDMS, DMET, term supervisor, Director of Clinical Training, to determine the extent of the issue, whether this has been detected by the unit, and whether steps have been taken to resolve it. This should then be reported to THS management either immediately or in the debrief meeting at the end of the day.
- THS management will be responsible for producing a report detailing how the concern has been
  resolved, which will be submitted to the PMCT Accreditation Manager within a specified period of
  time, usually within one week of the visit. The report is to be forwarded to the accreditation survey
  team.
- If the accreditation survey team is satisfied that the concern has been fully resolved, the PMCT Accreditation Manager will inform the site.
- If it has not been possible to fully resolve the concern by the time of the submission of the final accreditation survey report, the site will be responsible for preparing a timeline detailing how and when the concern will be resolved. The PMCT Accreditation Manager will work with the site to ensure this action plan is met. Monitoring of the action plan will take place outside of the regular accreditation report process; however, the final report may contain provisos relating to the concern.

Reviewed July 2023 9 | Page

# **Identifying Concern Process Flowchart**

Accreditation Survey team encounters patient/JMO safety/welfare issue



Accreditation Survey team investigates issue further with JMOs, supervisors, and other identified THS staff, as appropriate



If Accreditation Survey team is surveying two sites, the Survey team members need to determine if similar issues exist at separate sites



If the issue is confirmed through sufficient evidence gathering, the survey team reports to the issue to identified key THS staff, as appropriate



Accreditation Survey team requests that a separate report including a timeline be created and sent to the PMCT Accreditation Manager, detailing actions required to resolve the issue



If necessary, the accreditation survey team agrees on reporting timelines for resolution of the patient/JMO safety/welfare issue with the THS site, following receipt of the initial report



Potential examples of patient/JMO safety issues include:

- JMOs prescribing medication they are unfamiliar with
- JMOs working excessive amounts of overtime or changing shifts (eg. Night to day) with insufficient breaks, leaving them fatigued and prone to errors
- JMOs being asked to undertake procedures they have not been adequately trained in
- JMOs consenting or being asked to consent patients without appropriate training
- JMOs receiving inadequate levels of supervision
- JMOs experiencing bullying, harassment and/or discrimination or inappropriate communications by other staff, including supervising senior clinicians

Reviewed July 2023 10 | Page

# **Survey Timetable – Full Survey**

A template is provided prior to a Full Accreditation Survey Visit to assist the health service to prepare a timetable, ensuring that the survey team meet with sufficient key staff to make an informed decision about accreditation level and duration of the intern training program and term/s. The timetable below is based on a one and a half days' visit, and it given as a guide only.

#### Introductory meeting of the survey team with the health service executive.

• This may include the Chief Executive Officer, the Executive Director of Medical Services, Directors of Clinical Training, the Medical Education Advisor and the Junior Medical Officer Manager as appropriate (one hour). This meeting is envisaged to occur on the first half day of the survey visit and governance and organisational aspects of the visit will be discussed, and any other relevant details as deemed necessary.

#### Meetings with a representative sample ofinterns

• (One hour – it may be advisable to schedule meetings in the morning and afternoon to maximise attendance)

#### A lunchtime meeting with senior medical staff

• This should include as many term supervisors of the intern terms to be accredited as possible (one hour)

#### A tour of the health service facilities.

• This may include the Resident Medical Officer quarters, one or two wards to assess intern workspace, storage of belongings and simulation facility (30 minutes)

#### A meeting with Nurse Unit Managers and Registrars

(45 minutes)

#### Debriefing session at the end of the day with relevant executive staff

- (45 minutes)
- Immediately prior to this session an appropriate space is provided and at least 45 minutes for the survey team to prepare a preliminary report that they will share at the debriefing session.

Reviewed July 2023 11 | Page

# **Accreditation Mid-Cycle Review**

Accreditation Mid-Cycle Reviews occur at the midway point of an accreditation cycle (normally at the two-year period) at all locations to track progress and identify issues re accreditation.

The Mid-Cycle review occurs two years after the survey visit and focuses on conditions and recommendations, changes to the program or posts since the previous visit and involves junior doctor feedback. Self-evaluation against the accreditation standards is not part of this process although feedback is sought in regard to those standards that were not met at the previous survey visit. The facility will be provided with a Mid-Cycle review template four months prior to the due date for the report.

The following highlights the tasks involved in each of the steps and these highlights are outlined accordingly.

### Prior to the Mid-Cycle Review date

#### Step 1

- The CEO of the health service is sent a letter to indicate the date of the Mid-Cycle review, along with a request for information.
- Survey team is selected by PMCT to conduct the review.
- Date of Mid-Cycle review determined, and documentation sent to relevant people.

The Chief Executive Office and the Executive Director of Medical Services (EDMS), or equivalent, of the health service is informed by the Chair of the Accreditation Committee that an accreditation survey visit is due.

The health service is requested to complete Mid-Cycle review forms and to provide any relevant documentation in support of the accredited terms to be reviewed. This should include a summary of each accredited term evaluations from each term being put forward for ongoing accreditation and term descriptions for each accredited term.

Each term to be reviewed must be clearly indicated on the form from the health service. If a new term is being requested the name must be clearly indicated and supporting information must be provided at the time of submitting the information to PMCT.

**Timeframe**: The CEO of the health service is sent a letter at least 16 weeks prior to the survey. This letter will outline the information, which needs to be provided to PMCT. This information from the health service must be sent to PMCT Manager eight weeks prior to the survey visit so that the documents can be collated by the PMCT Accreditation Manager

#### Step 2

- The health service provides the Accreditation Committee with the requested information (via email) for collation.
- All submitted documents must be version controlled and amendments highlighted for easy identification of changes.

Reviewed July 2023 12 | Page

**Timeframe**: at least sixteen weeks prior to the survey visit.

#### Step 3

- The information provided by the health service is reviewed with a request sent to the health service if further information is required.
- If further information is needed this must be forwarded by the health service within one week of the request being made.

The information provided by the health service, together with copies of the previous visit report and relevant correspondence, is forwarded to the survey team by the PMCT Accreditation Manager for their information and for identification of the terms to be reviewed or any other aspect related to the Mid-Cycle review.

**Timeframe**: at least four weeks prior to the visit all information will be sent to the survey team members.

#### Step 4

• Collated documentation sent to the Mid-Cycle review survey team.

The survey team leader liaises with fellow team members regarding any issues and finalises any further information which is required. See escalation process (this document)

**Timeframe**: At two weeks prior to the Mid-Cycle review date teleconferences/zoom meetings will be held with the survey team to identify issues or relevant information, which may be missing or inadequate. This meeting will also identify those standards, which have been met using the information provided by the health service

Reviewed July 2023 13 | Page

#### The Mid-Cycle review date

#### Step 5

The survey team carry out the accreditation Mid-Cycle review.

The survey team leader and members carry out the accreditation Mid-Cycle review in accordance with their role and responsibilities (*the PMCT Code of Conduct*)

and the PMCT Confidentiality and Data Management Policy

#### Step 6

• The survey team finalise the survey report.

The survey team leader, in liaison with the team members, finalises the report ensuring that all team members have agreed.

#### Step 7

- The PMCT Accreditation Manager submits the initial report to the hospital for comment on factual accuracy and to clarify recommendations that may not be clear, or they feel they may not be able to achieve.
- Health service has two weeks to review the report and respond for comment on factual
  accuracy and to clarify recommendations that may not be clear, or they feel they may not be able
  to achieve.

The PMCT Accreditation Manager sends the draft report to the health service to provide opportunities to clarify factual issues.

**Timeframe**: the draft report is sent to the health service at seven weeks after the survey visit and on receipt of the report the health service is given two weeks to respond to factual issues. The health service has four weeks, from the date of receipt of the draft survey report, to appeal the outcome of the report. If there is an appeal: the health service must notify PMCT Accreditation Manager (within the four-week period mentioned above) that there is an appeal and then the health service has three weeks after this date to submit their appeal. (The policy relating to this can be found at <u>PMCT Accreditation Policy - Appeals V3.3</u>

#### Step 8

- Health service can respond to factual issues within the report.
- Health service has four weeks from date of receipt of report to appeal the outcome. Refer to *PMCT Accreditation Policy - Appeals V3.3*
  - The final survey report is produced and sent to the PMCT Accreditation Committee for consideration. The AC considers the report and may request additional information from the team or the site and may modify the report or its recommendations.
  - The AC then sends the updated report to the Board for consideration.

Any additional information or clarification/amendment by the health service is discussed by the survey team and a final report is produced.

Reviewed July 2023 14 | Page

**Timeframe**: within 12 weeks (if no appeal has been received within the specified timeline) of the survey visit. If an appeal is received the appeal must be heard as per the policy document related to appeals. The appeal may delay the process by up to 5 weeks

#### Step 9

- Assuming no appeals, the final report and accreditation decisions are referred to the PMCT Board.
- The Board considers the report and recommendations and makes a decision regarding accreditation. The Board may:
  - c. Support the recommendations
  - d. Go back to AC for further information or clarification

**Timeframe**: within 16 weeks of the survey visit (assuming no appeals which will then change the timelines to up to another 5 weeks).

#### Step 10

- The Principal Officer notifies the PMCT Accreditation Manager via email detailing the Board's decision.
- The report and its accreditation decisions and recommendations etc are not valid until it has been approved by the Board.
- The approved decision and survey report are provided to the health service by the PMCT Accreditation Manager
- The Tasmanian Board of the Medical Board of Australia is notified of the decision.
- PMCT website is updated with accreditation Mid-Cycle review outcomes (if applicable).

The approved decision, together with the complete Mid-Cycle Review, is provided to the Chief Executive Officer of the health service. PMCT notifies the Tasmanian Board of the Medical Board of Australia (if applicable) of the accredited health services and terms and the duration of accreditation.

The PMCT website is to be updated prior to the reports being issued.

# **Escalation Process for a Mid-Cycle Review**

The Mid-Cycle review is a desk top audit and as such no face-to-face meetings with the health services are used as part of the review process.

If the Mid-Cycle review team determine there is an issue in relation to the data provided by the health service or there is insufficient documentation provided by the health service to allow for a considered decision, they have the capacity to invoke an escalation process.

#### The escalation process:

1. The Mid-Cycle survey team should document clearly, in a letter, the concerns in relation to issues identified. The PMCT Accreditation Manager should be consulted in this process.

Reviewed July 2023 15 | Page

- 2. This letter is then to be forwarded by the PMCT Accreditation Manager to PMCT Executive and the Chair of the PMCT Accreditation Committee with any relevant supporting data/information.
- 3. The Executive of the PMCT Board and the PMCT Accreditation Manager should convene within a week of receiving the letter to discuss the concerns and determine the way forward. The Mid-Cycle Review Team Leader should be consulted if further information is required. The meeting could be via teleconference or telephone.

The outcomes of the meeting can be one of the following options:

- 1. Contact via email/ or in person the health service to indicate the issues which have been determined.
- 2. Develop a collegial process so that the missing data can be supplied, which may delay the Mid-Cycle review. This must be an agreed process so that the data is gained within a specified timeframe.
- 3. The Executive and PMCT Accreditation Committee Chair may determine that a face-to-face meeting is the preferred option, and the Executive will communicate with the health service to determine a suitable time. The Team Leader of the Mid-Cycle survey will be included in the discussion.
- 4. The Mid-Cycle survey team may be asked to consider if an interim report can be issued and a final report issued once the remainder of the data has been supplied.

#### **Level and Duration of Accreditation**

Accreditation is approved for a health service for both the intern training program and for individual terms. Individual terms cannot be recommended for accreditation unless the overall health service training program meets the requirements of accreditation.

There are four levels of accreditation that can be approved for the health service and five for individual terms:

- 1. Full accreditation;
- 2. Provisional accreditation;
- 3. Preliminary accreditation;
- 4. Accreditation not awarded or withdrawn; and
- 5. Suspension of accreditation (individual accredited term/s).

#### **Level of Accreditation for Health Service**

#### **Full Accreditation**

Intern Training Program at the health service: Four year's accreditation will be awarded to a health service whose intern training program exhibits substantial compliance with Australian Medical Council (AMC) Accreditation Standards with no major issues identified. Accreditation may include some suggestions for improvements to the intern training program, but accreditation is not dependent upon their implementation. This assessment can only be made following a full accreditation visit.

As from 2017, when the accreditation cycle moved to a four year cycle, the Mid-Cycle review process was implemented to ensure all accredited terms were meeting the Australian Medical Council (AMC) Accreditation Standards. The Mid-Cycle review process will not award four year accreditation for terms but is to be used as a supplementary tool to ensure accredited terms are meeting the required standards.

**16** | P a g e

#### **Provisional Accreditation**

Intern Training Program at the health service: Twelve months accreditation or a period recommended by the PMCT AC and approved by the PMCT Board will be awarded to a health service whose intern training program has previously been accredited, but has been assessed as meeting with some, but not all AMC Accreditation Standards. This assessment can be made following a full accreditation visit or as a result of a change in circumstance.

A health service awarded Provisional Accreditation must have clearly stated recommendations and reporting requirements. Further extension of accreditation will be granted only on completion or the high likelihood of completion of the required recommendations within a 12 month period (or specified by PMCT), and is based on a review and/or a site visit of the health service intern training program by an accreditation survey team.

The outcome of a health service with Provisional Accreditation status undergoing review may be:

- Full accreditation;
- Accreditation withdrawn; or
- In exceptional circumstances, the status of Provisional Accreditation may be continued with a further review after 12 months or period specified by PMCT.

#### **Preliminary Accreditation** (12 months duration)

Intern Training Program at the health service: Preliminary accreditation will be awarded to a new health service intern training program that has not previously been accredited. Twelve months accreditation or period specified by PMCT is awarded to a new health service training program-that has not previously been assessed for interns and is assessed as meeting all AMC Accreditation Standards. The health service intern training program is accredited with a review after 12 months or a period specified by PMCT.

#### Accreditation not awarded or not awarded

Intern Training Program at the health service: In exceptional circumstances this may apply if the health service intern training program was assessed as not having met sufficient accreditation criteria to receive accreditation.

A decision to withdraw accreditation from health services will only be made by the PMCT Board following recommendation from the PMCT Accreditation Committee. Such a decision should not disadvantage interns and where possible will take into consideration recruitment and rotation timelines.

A health service may appeal against the accreditation status awarded following a survey visit. The policy document is found at <u>PMCT Accreditation Policy - Appeals V3.3</u>

#### **Level of Accreditation for Intern Term**

## **Full Accreditation**

Accredited Terms: Four year's accreditation will be awarded to intern terms that meet AMC Accreditation Standards where no major issues are identified. Accreditation may include some suggestions for improvements to the term, but accreditation is not dependent upon their implementation. This assessment can only be made following a full accreditation visit.

#### **Provisional Accreditation**

Accredited Terms: Twelve months accreditation, or period specified by PMCT, will be awarded to an intern term that has previously been accredited, but has been assessed as meeting some, but not all, AMC Accreditation Standards. This assessment can be made following a full accreditation visit or as a result of a change in circumstance. The policy document is found <a href="https://example.com/here">here</a>

Reviewed July 2023 17 | Page

An intern term awarded Provisional Accreditation must have clearly stated recommendations and reporting requirements within 12 months as approved by the Accreditation Committee. If significant issues are identified, the reporting between the health service and the Accreditation Committee will be required after six months or period specified by PMCT.

The review of a provisionally accredited term is generally a paper-based survey, Mid-Cycle review or ongoing review process followed by a videoconference /meeting /correspondence between PMCT AC and the health service. The review must include a report by the health service that clearly outlines the strategies and outcomes that have been put in place to address the Accreditation Committee 's recommendations.

Reporting requirements to be submitted for the review process include:

- summary of the term evaluations by interns during the provisional accreditation status period
- other supporting information as specified.

The outcome of a term with Provisional Accreditation status undergoing review may be:

- Full accreditation;
- Accreditation withdrawn; or
- In exceptional circumstances, the status of Provisional Accreditation may be continued with a further review within a maximum of six months or period deemed suitable by the PMCT AC.

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#### **Preliminary Accreditation** (12 months duration)

New Term: Preliminary accreditation will be awarded to intern term/s that have not previously been accredited. Twelve months accreditation or period specified by PMCT is awarded to an intern term that has not previously been assessed for interns and is assessed as meeting all AMC-Accreditation Standards. The term is accredited to have an intern rotate through the term with a subsequent review by the PMCT AC to determine ongoing accreditation.

An electronic or paper-based application for accreditation of a new term is submitted by the health service to the Chair of the Accreditation Committee. The application should include:

- Evidence of why prompt accreditation is required; and
- Evidence and supporting documentation of how the health service is able to meet the Accreditation Standards, including a term description and supervisory arrangements.

On receipt of the correspondence, the Chair of the Accreditation Committee and Accreditation Manager will discuss the application for a new term and decide on one of the following options:

- may be considered by the Accreditation Committee members at a delegated meeting, or
- an exceptional meeting of the Committee- either via videoconference or email, or
- a meeting of the Panel of the PMCT Accreditation Committee.

The Accreditation Committee will seek further information as necessary and conduct a paper-based review of the term with an optional videoconference with the health service representative/s (generally the DCT). A site visit may form part of the accreditation process if deemed necessary. Based on the evidence provided, any meetings and a review of documentation, the Accreditation Committee will decide whether or not to award the term preliminary accreditation.

Preliminary accreditation is awarded for a period of 12 months or period specified by PMCT. If awarded, the health service must submit a report after six months and 12 months and include summaries of the intern evaluations.

#### Withdrawal of Accreditation or Accreditation not renewed

Withdrawal of accreditation as requested by PMCT AC: If a term has been assessed as not meeting sufficient accreditation criteria to receive or maintain accreditation, then withdrawal of accreditation will need to be considered by the PMCT AC.

The process prior to withdrawal of accreditation for a health service intern training program or an intern term is as follows:

Reviewed July 2023 18 | P a g e

- A PMCT survey visit and subsequent recommendations completed;
- An opportunity for the health service to respond and address recommendations;
- Interaction with PMCT and other relevant stakeholders; and
- To occur within a defined period.

A decision to withdraw accreditation from intern terms will only be made by the PMCT Board following recommendation from the PMCT Accreditation Committee. Such a decision should not disadvantage interns and where possible will take into consideration recruitment and rotation timelines.

A health service may appeal against the accreditation status awarded following a survey visit (refer to PMCT website *Appeals - Accreditation Status of health services Policy*). The policy document can be found at *PMCT Accreditation Policy - Appeals V3.3* 

Request for withdrawal of accreditation by health service (redundant term): This term is not renewed after a request from the health service. Such a decision should not disadvantage interns and where possible will take into consideration recruitment and rotation timelines.

#### Suspension of Accredited term

This applies if a term was assessed as not being able to meet the accreditation criteria due to exceptional circumstances or at the request of the health service.

The process prior to suspension of accreditation intern term is as follows:

- The PMCT Accreditation Committee requests information re the changes which have occurred;
- An opportunity for the health service to respond and address concerns (if applicable);
- Interaction with PMCT and other relevant stakeholders; and
- Decision made by the Chair of PMCT AC to send to quarterly meeting of PMCT AC or consider an exceptional meeting to be established to consider the possible suspension.

A decision to suspend accreditation for intern terms will only be made by the PMCT Accreditation Committee. Such a decision should not disadvantage interns and where possible will take into consideration recruitment and rotation timelines.

Review period: Maximum 12 months.

# **Change in Circumstance**

Health services must adhere to the accreditation standards throughout the period for which they are accredited. Accreditation is subject to the Accreditation Committee being informed by the health service of any change that significantly alters the training capacity of the health service intern training program and/or intern term between accreditation visits.

Health services should notify the Chair of the PMCT AC or the Manager of the Accreditation Committee in the following circumstances (it should be noted this is not an exhaustive list):

- Application for a variance to an accredited term which may include (but not limited to):
  - Application for a change in status of an intern term (for example from non-core to core);
  - Proposal to change the number of interns in a term;
  - Variance in human resources in an accredited term which may include (but not limited to):
  - Absence of a term supervisor for an extended period (e.g. one month) with no replacement;
  - Absence of any immediate clinical supervision expected for any period;
  - Significant reduction of clinical staffing available to directly supervise and support interns/ JMOs, including after hours;

Reviewed July 2023 19 | P a g e

- Significant changes to rostered hours that diminish the role of the intern/JMO in the unit and/or clinical supervision available;
- Changes to unit medical staffing resulting in interns/JMOs undertaking higher/alternative clinical duties than as described in the accredited term position description for an extended period;
- Significant changes to term case mix or clinical activity that impact on intern/JMO patient load for an extended period;
- A significant reduction in the provision of the intern training program or the interns' ability to attend the formal teaching program;
- Change of name of accredited terms which have not been approved or appear on the PMCT website.
- Information as required by PMCT following on from accreditation recommendations;

#### What must be provided:

Policy.

For the change of circumstance to be considered, the health service must provide:

- 1. A formal request (either email or in writing) outlining the change of circumstance.
- 2. A Term Description clearly showing any changes and alterations.
- 3. Supporting information must be provided to the PMCT Accreditation Committee in order for the Manager of the Accreditation Committee to be able to review the request, with all pertinent information provided.

Further information may be requested as deemed necessary by the Chair or the Manager of the PMCT Accreditation Committee.

# **Process following Notification of Change in Circumstance**

The Manager of the Accreditation Committee will discuss the change with the notifying health service, will seek further information as required and, in particular, will ascertain:

- The issue, its duration, and its effects on the intern training program and/or intern term/s; and
- Possible solutions, including liaising with the Director of Medical Services and Head of Department if required to facilitate a solution.

All notifications to PMCT will be reviewed by the PMCT AC or, where urgent, by its PMCT AC Panel.

The Chair of the PMCT AC may decide to take the following action, after consultation with the PMCT AC or its Panel.

Following consultation by the Manager of the Accreditation Committee with the health service, the recommended outcome(s) will be reviewed by the Chair of the PMCT AC, the PMCT AC Panel, or the complete Accreditation Committee to determine the final course of action.

- Where a solution to the issue is identified, to request a follow-up report following implementation of the solution within a specified timeframe;
- Where no immediate solution is identified, to continue to monitor the issue including seeking feedback from affected interns;
- To request the health service, remove the intern(s)/JMOs for a period to another accredited health service/term;
- To request an urgent accreditation survey of the health service intern training program to review accreditation status;
- To alter the accreditation status of the health service intern training program/term.

  Further information about changes in circumstance can be found in <a href="PMCT Change">PMCT Change in Circumstance</a>

Reviewed July 2023 20 | Page

# **Appeals against Accreditation Decisions**

Any facility, individual or department/health service may appeal against the accreditation status awarded by PMCT following a survey visit prior to the submission of the terms assessment as meeting the standard for accreditation to the Tasmanian Board of the Medical Board of Australia. Grounds for appeal may include, but are not limited to:

- Relevant and significant information which was available to the survey team and was not considered in the making of the recommendations; and/or
- The report of the survey team was inconsistent with the information put before the team; and/or
- That irrelevant information was considered in the survey team decision; and/or
- Perceived bias of a surveyor or surveyors; and/or
- Information provided by the survey team was not duly considered in the recommendations of the Accreditation Committee.

<u>The PMCT Accreditation Policy - Appeals V3.3</u> provides an appropriate appeal mechanism based on the principles of natural justice in accordance with the Prevocational Medical Education Framework (PMAF).

## **Survey Team**

The Accreditation Committee is responsible for the selection and appointment of the survey team. In order to be a survey team member or survey team leader, members must possess the necessary background/experience as outlined in the position descriptions <u>Accreditation Survey Team Member Position Description</u>, <u>Accreditation Survey Team Leader Position Description</u> and complete the accreditation survey team training workshop.

In addition, the applicant must agree to comply with the <u>PMCT Code of Conduct</u>. The <u>PMCT Code of Conduct</u> defines the standards of behaviour for accreditation survey team members and team leader and sets out the minimum acceptable level of conduct for survey team members and team leader to ensure the highest ethical and professional standards from them.

Accreditation surveys are conducted against defined and clearly articulated standards and according to the following values:

- Promotion of education and training in a supportive learning environment
- Integrity
- Professionalism
- Impartiality and objectivity
- Confidentiality

#### **Composition of the Survey Team**

A survey team normally comprises four to five people, with a minimum of four people, who represent any of the following medical education stakeholder groups:

- Clinician/Term Supervisor of Intern Training
- Junior Medical Officer (JMO) (Intern through to Registrar)
- Director of Clinical Training (DCT)
- Medical Education Advisor

Reviewed July 2023 21 | Page

- Medical Administrator
- Interstate accredited surveyor/s
- Co-opted members as approved by the Accreditation Committee

Each team must have at least one JMO and one DCT. At least one member of the team will be a member of the Accreditation Committee. The Accreditation Committee may require that the survey team comprises one or more team members from interstate should it determine that this is necessary to avoid any conflict of interest. Please refer to *PMCT Conflict of Interest Policy*.

#### **Responsibilities of the Survey Team**

The primary responsibility of the survey team is to conduct a comprehensive review of the intern training program at the health service under consideration. The survey team evaluates health services as effective training sites and evaluates each intern term. It also recommends *improvements in education and training for interns*.

For more information about the survey team please refer to <u>Accreditation Survey Team Policy</u>.

Reviewed July 2023 22 | Page

# **The Survey Report**

PMCT, through the Accreditation Committee, recommends accreditation status to the PMCT Board and through to the Tasmanian Board of the Medical Board of Tasmania. The team leader has the primary responsibility for compiling the survey report using the approved standardised report proforma. The report must be accurate and contain the necessary information to allow the Accreditation Committee to make a well-informed decision on the number and type of accredited positions in the health service.

At a minimum the survey report should contain recommendations about:

- The level and duration of accreditation for the health service and for each intern term
- The name of each accredited term; and
- Whether the accredited intern terms are core medical, core surgical, core emergency medicine care, or non-core terms.

The draft report must be reviewed and agreed upon by all survey team members prior to being forwarded to the Chair of the Accreditation Committee. Where there is an issue requiring clarity or resolution, the team leader may convene a teleconference to discuss the issue with the survey team members.

The report must be based on the PMCT accreditation standards and be written in a manner that reflects the values inherent in the <u>PMCT Code of Conduct</u> and the <u>PMCT Confidentiality and Data Management Policy</u>

Names of term supervisors should not be used. The finalised report must be forwarded to the Chair of the Accreditation Committee within six to seven weeks of the survey visit.

# Health Service Feedback on the Survey Visit and Survey Team

Within one month of the survey visit, the PMCT Accreditation Manager will email an electronic link to an online survey for the health service and the survey team members to provide feedback on the performance of the members of the survey team, including the team leader, and the accreditation process.

Feedback is received from and provided to:

- The health service staff involved in the accreditation survey visit
- The survey team members
- The survey team leader

Where a health service has any significant concerns about the performance of any of the members of the survey team those concerns should be raised immediately with the Chair of the Accreditation Committee, or if not appropriate then with the Chair of PMCT. PMCT intends the process to be constructive and collegial.

For more information, please refer to <u>PMCT Evaluation and Feedback Policy</u>.

Reviewed July 2023 23 | Page

#### **Conflict of Interest**

PMCT recognises it is important to ensure that the accreditation process is fair and impartial, thus all participants in the accreditation process must avoid situations in which real and perceived conflicts of interest can arise.

A conflict of interest can be described as a situation where a representative of PMCT (or his/her partner, family member of close friend) has a direct or indirect financial or other interest which influences or may appear to influence considerations or decisions relating to PMCT business.

Conflicts of interest are to be expected and are not always avoidable. The <u>PMCT Conflict of Interest Policy</u> provides guidelines for Accreditation Committee Members and accreditation survey team members for situations that may give rise to real or perceived conflicts of interest.

# **Confidentiality and Data Management**

PMCT acknowledges the importance of confidentiality in the accreditation process. Information obtained during an accreditation visit is considered by the survey team and the PMCT Accreditation Committee as confidential. In addition, PMCT is committed to taking reasonable steps to protect the health service's accreditation information from misuse and loss and from unauthorised access, modification or disclosure.

Information obtained during an accreditation visit is considered by the survey team and the PMCT Accreditation Committee as confidential. Matters concerning the accreditation will only be discussed with the health service staff concerned and Accreditation Committee members. Survey team members also treat with confidence all information provided by other team members during the review.

All survey team members and survey team leader must complete a <u>PMCT Confidentiality Agreement</u> – Intern Accreditation Survey Team members each year they participate in an accreditation review.

Please refer to <u>PMCT Confidentiality and Data Management Policy</u> for further information about ensuring confidentiality and data storage and security relating to accreditation surveys.

Reviewed July 2023 24 | Page

# **Communication of PMCT Accreditation Process**

PMCT has a communication strategy in place to increase awareness and inform key stakeholders and to provide the opportunity for evaluation to inform, maintain and improve the accreditation process. Communication activities include, but are not limited to, Accreditation Committee minutes/yearly reports, PMCT website, PMCT newsletter, survey team workshops, Tasmanian JMO Forum website and National accreditation meetings.

The target audience for the communications strategy are identified as including:

- Health services in Tasmania;
- Interns and other JMOs employed by the health services;
- Accreditation survey team members;
- PMCT Council, Board and Committee members;
- PMCT Committees members;
- PMCT staff including Medical Education Advisors and Directors of Clinical Training; and
- Affiliated stakeholders including other prevocational medical education accreditation bodies, the Tasmanian Board of the Medical Board of Australia, AMA Tasmania, and providers of medical education.
- Community stakeholders

To ensure the communications strategy is successful or if improvements need to be made various evaluation methods are used as outlined in the *PMCT Communications Policy*.

# **Risk Identification and Management**

PMCT has responsibility for ensuring that adequate risk management processes are in place for the accreditation process. Risk management processes are designed to ensure the accreditation body:

- Upholds rigorous, fair and consistent processes for accrediting intern training programs;
- Effectively governs itself and demonstrates competence and professionalism in the performance of its accreditation role;
- Effectively manages its resources associated with accreditation;
- Builds and strengthens stakeholder support and collaborates with other key bodies; and
- Limits the impact of any unavoidable risk.

#### <u>The PMCT Risk Management – Accreditation Process Policy</u>

outlines the risks identified, and the assessment and management for PMCT's intern training accreditation process in Tasmania. PMCT will ensure that adequate resources are available to implement all risk management processes.

#### **Acknowledgements**

Confederation of Postgraduate Medical Education Councils Postgraduate Medical Council of Victoria Postgraduate Medical Education Council of Queensland Postgraduate Medical Council of Western Australia South Australian Medical Education and Training Northern Territory Postgraduate Medical Council Health and Training Institute (NSW)

Reviewed July 2023 25 | Page